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Zhuanglin Hu

Metaphor and Cognition



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Preface to the Second Edition

In 1996, with the approval of the leaders of Peking University and the School of Foreign Languages, I went through the retirement procedures on time following the university regulations. For various reasons, I somehow became involved in metaphor research and wrote a textbook *Metaphor and Cognition* in 2003, which was published by Peking University Press. And I have explained all these things in the preface of the first edition.

Unexpectedly, 14 years later, Ms. Liu Wenjing, the editor of the foreign language department of Peking University Press, approached me at the beginning of the year and said that this book was already sold out. The publisher intended to reprint it and asked for my opinions on whether some revisions and additions were needed. Due to the rapid development of computer technology, the original CD-ROM for storing files cannot be used anymore. But Ms. Liu organized some staff to make an electronic version of the whole book, which relieves me of the burden of typing it all over again. In addition to being grateful, I can only begin revision in a rush.

Revision is far more complicated than I had thought, because at the same time, I needed to work on other books and papers and attended some conferences. More importantly, as an 85-year-old man, my eyesight, energy, and memory are not as good as they used to be, so it is difficult to review what I have written, collect new materials in the last decade or so, and catch up with the latest progress of this topic. In this way, it took me a whole year to get somewhere.

In this version, apart from the improvement of expression, one or two sections of new content are added to each chapter, especially the progress of domestic research.

It should be noted that in terms of subject research and development, after 40 years of reform and opening up, we have not only introduced Western views but also domestic scholars have made remarkable achievements in the research and development of this subject. For this reason, Chap. 19 “Metaphor and Translation” has been added to the revised edition, hoping that this will be helpful to teachers and students of translation major that has gained development and attention in this century. Some content has also been added appropriately in other chapters.

This revision is certainly an improvement over the first edition, but it is still far from the expected academic standard. I hope that the readers of this book, teachers,

students, and especially the experts in metaphor research, can provide valuable opinions so that I can continue to realize my wish of “living and learning”.

I was worried that the Spring Festival would affect the third proofreading of the second edition. But unexpectedly, just like the situation of the first edition, the COVID-19 suddenly hit. In this way, in response to the country’s call, I stayed at home and completed the proofreading task, participating in the fight against the virus with positive actions and showing my greetings and support to patients and medical workers.

Beijing, China
June 2018

Zhuanglin Hu

Preface to the First Edition

Metaphor and Cognition has finally been completed. It took me seven or eight years to finish this book in my old age. At first, I just tried to write some articles but then I determined to write a book. It is hard to describe the happiness and sadness in this process and I have dealt with all the sadness by myself. Here, I would like to explain some things happening during the writing of this book and share some fun with readers.

I have read many master's theses and doctoral theses, and a common pattern is that the authors often thank their supervisors in the preface. On the contrary, I want to give thanks to my students. At the end of 1995 when my visiting study at the Chinese University of Hong Kong was coming to an end and I began to prepare for my return to Beijing, then my student Fan Wenfang (now a professor at Tsinghua University) sent me an e-mail, saying that she was going to study the "grammatical metaphor" of systemic functional grammar, especially the "textual metaphor" part that seldom gained attention at the time. I was stunned for a moment, because my peers had discussed this topic in the past, and they all agreed that the grammatical metaphor was not an easy topic to work on, and it was hard to produce good results. For this reason, I seldom got to know materials in this aspect myself. But I don't want to violate a principle that I guide students: don't limit the development of young people with my shallow knowledge. A tutor in the liberal arts is not the same as one in the sciences. Science tutors often play the role of "boss". After receiving the funding for their projects, they recruit doctoral students to work together. However, liberal arts tutors have very little funding. It was said that many societies had to be canceled because they could not hand over 100,000 yuan in funds. Since tutors can't afford to support their students, students have more freedom to choose their topics. What is more, in liberal arts, more emphasis is placed on cultivating students' ability to criticize and innovate, so I let her choose this topic. In this way, I spent my last month in Hong Kong to collect and copy some materials about metaphor. In the following days, Fan Wenfang and I worked very well together. I had full confidence in her thesis. I remember when her defense was arranged, I first gave up the qualification to vote and not to participate in the thesis defense committee. On that day, I did not show up because I did not want my presence to make her nervous,

and neither did I want the judges to make allowances for her. That afternoon, I sat in another room, waiting for the good news that her thesis passed. After Fan Wenfang completed her studies, she did one thing that I would never forget. Like all young people, when it is time to work hard, she worked hard. And when it is time to relax, she enjoys her relaxing time. And at that time, it is time for her to enjoy the beautiful life besides study! She generously moved all the materials and books she collected over the past three years to my house, and this allowed me, an old man, to continue my study. Therefore, after the defense, the students enjoy their relaxing time while the tutor devoted himself to study. The books Fan Wenfang gave me include *Metaphors We Live By* by Lakoff and Johnson, *Women, Fire and Dangerous Things* by Lakoff, *Metaphor and Thought* edited by Ortony, and related works by systemic functional grammar scholars. These books are very helpful for me to have a basic understanding of metaphor.

In 1996, I volunteered to retire, and thanks to the leaders of the university and the personnel department, I completed the procedures during the summer and could then enjoy a free life. I chose to go far away to visit families in Atlanta and watch the Olympics. My second son, Hu Wei, booked tickets for table tennis, gymnastics, women's volleyball, weightlifting, and other games for my wife and me half a year ago. In addition to cheering up for the Chinese athletes, I engaged in metaphor study. Sometimes I went to the libraries of Georgia State University, Georgia Tech University, and Emory University in the city to browse and print some materials. At this time, some very immature ideas emerged from time to time. For example, maybe I could write some articles based on the materials I had read, or I could teach a course for graduate students. The former idea was more practical. I could not be idle, and I always wanted to do something. The latter idea was soon given up by myself because I knew that I have already retired and I did not have the energy to go back to teaching. But at this juncture, Lu Gusun, the director of the Department of Foreign Languages and Literatures of Fudan University, sent me an e-mail to invite me to give lectures. He and I had gone to Seoul to attend an academic conference. I am older than him, but he is more learned than me. The letter was sincere and asked me to suggest some courses for him to consider. I am not a person who would say "no", not to mention that I was invited by him. And I could also revisit my hometown (according to my parents, my hometown used to be in the Wujiaochang area near Fudan). So I accepted the invitation and made a list, such as "general linguistics", "linguistic theories and schools", "systematic functional grammar", "stylistics", "discourse analysis", and "semantics". At that time, I also boldly included a topic "language and metaphor" apart from all the courses that I had taught in the past. And out of my expectation, Lu asked me to have this course instead of all the other courses that I was familiar with or had lecture notes. At that time, I had only written one or two articles, and there was no lecture note. However, I have no choice. Fudan is such a prestigious school with its students repeatedly winning in the National English Major Examination CET-4 and CET-8. Fortunately, after years of exercising, I became an old athlete, and my blood pressure did not rise due to tension. From Atlanta to Beijing, I raced against the clock and used these months before going to Fudan to prepare the first half of the lecture notes. After arriving at Fudan University in April 1997, I prepared for the

course at the hotel while giving a lecture. The 486 laptop that my oldest son bought me worked then. At that time, I started smoking again, hoping to look for inspiration. The first thing that the attendant did when he cleaned my room is to open the window to provide me with some fresh air. The idea of writing a book appeared from this period.

In the autumn of 1998, a young man came to me. At first glance, I believed that he was a scholar. This young man was neither tall nor burly. He was fair-skinned, gentle, and courteous, with a lively aura in his eyes hidden behind his glasses from time to time. The young man told me that his name was Zhang Pei and came from Taiyuan, Shanxi Province. He was a post-graduate student from Fudan University and his supervisor professor was Lu Gusun. Now, he was studying for a master's degree under the guidance of Mrs. Yue Daiyun at the Comparative Literature Center of Peking University. He said that he was one of the students attending my course "Language and Metaphor" at Fudan University. He became interested in metaphor after that, and he came to Peking University to study metaphor. Obviously, Brother Lu Gusun was right when he asked me to teach this course, and my hard work lived up to his appreciation and the expectations of Fudan students. After Zhang Pei came to Peking University, I was also invited to participate in his opening report, qualification examination and defense. Later, he came to the English Department to study as a postdoctoral fellow. Whenever I read Zhang Pei's papers, and whenever I got along with Zhang Pei, I admired him for his diligence, his comprehensive knowledge of both Chinese and Western, and his deep and quick thinking. After five years of learning, he has already established a place in the field of metaphor, leaving me far behind. Still, I asked Zhang Pei a lot of things. The theme of Chap. 9 of this book was originally "诗学隐喻 (shī xué yǐn yù)". After discussing with Zhang Pei, I accepted his opinion and changed it to "诗性隐喻 (shī xìng yǐn yù)".

I thought I could write a book on metaphor research in two or three years, but I did not make it for two reasons. One reason was that many monographs on metaphor have been published in China, such as *Studies in Metaphor* by Dr. Shu Dingfang, and Zhang Pei's doctoral dissertation waiting to be published. It might be superfluous for me to write a book. Another reason was that after retirement, there were more things that I needed to deal with. In addition to supervising doctoral students, there were various plans and tasks out of expectation such as giving lectures, attending conferences, writing books, requesting manuscripts, reviewing manuscripts, writing prefaces, participating in doctoral defenses, writing letters of recommendation for going abroad, promotion and scientific research projects, answering questions for middle school students, etc. I was overwhelmed. Several times, considering that I had no time to sort out the manuscript, I planned to give up. I did not need to put too much pressure on myself, and I had as much time and energy to do as much work. However, the unexpected thing happened again (this always happened to me). In April 2003, a fight against SARS that shocked the whole country and the world flared up! Overnight, all meetings stopped, my doctoral students disappeared, and the swimming pool of the Post and Telecommunications Sanatorium that I frequently went to was closed. The Centennial Lecture Hall of Peking University did not arrange performances. The street lamps were not on. My community no longer welcomed

visitors. We had to show our resident ID cards when we entered and left the community. The north gate of the community did not allow Peking University students to enter Tsinghua University. Everyday, there was true and false news spreading to each other. I also received many calls from home and abroad. I tried to explain to them that my wife and I were living well; I was grateful to all the relatives and friends who called me. Dating back to the three-year difficult period in the early 1960s, we all had to promise that we would have rest and avoid edema. If we stayed at home, we could not get SARS, but I still promised myself that I would not be SARS-phobia! In this way, apart from watching TV to learn from the anti-SARS warriors, especially the medical workers, I actually had time to complete the bidding materials for the general high school English textbook project of Beijing Publishing House and began to write some of the chapters of this book. In the end, there was still time to sort out all the manuscripts, and I finally completed a task that I could not imagine for several years. Human life is limited, and human opportunities are fleeting. In this sense, this book was produced during the fight against SARS, and it was an achievement of fighting against SARS-phobia.

Beijing, China
July 2003

Zhuanglin Hu

Contents

1	Language, Metaphor, and Cognition	1
1.1	Introduction	1
1.2	Language and Cognition	2
1.3	Language and Metaphor	3
1.3.1	The Tension Theory	3
1.3.2	The Controversion Theory	3
1.3.3	The Deviance Theory	4
1.3.4	The Semantic Anomaly Theory	4
1.3.5	Others	5
1.4	Metaphor and Cognition	5
1.4.1	Understanding of the Nature of Metaphor	6
1.4.2	The Generation and Understanding of Metaphor	7
1.4.3	The Positive Role of Metaphor in Cognition	9
1.5	Summary	9
2	Alternative Theory	13
2.1	The Essence of Metaphor	13
2.2	Substitution	14
2.3	Aristotle's Metaphor Type	14
2.4	Question and Discussion	16
3	Comparative Theory	19
3.1	Definition	19
3.2	Analogy and Simile	21
3.2.1	Analogy	21
3.2.2	Simile	22
3.3	Comparison Theory	24
3.3.1	Comparison of Similarities	24
3.3.2	Original Comparison	25
3.3.3	Symmetrical Comparison	25

3.4	Question and Discussion	25
3.4.1	Questions About Similarity	26
3.4.2	Questioning the Comparison of Original Meaning	26
3.4.3	The Multiplicity of the Original Meaning	27
3.4.4	Asymmetry of Metaphor	27
3.4.5	Non-absoluteness of Similarity	28
3.4.6	Characteristic Attribution	28
3.4.7	The Role of Metaphor in Human Thinking	29
3.4.8	Reader's Participation	29
4	Interaction Theory	31
4.1	Introduction	31
4.2	Metaphor and Meaning	32
4.3	Interaction Between Tenor and Vehicle	33
4.3.1	Language is Essentially Metaphorical	34
4.3.2	Two Concepts, One Meaning—Interaction	34
4.3.3	Tenor and Vehicle	36
4.3.4	Common Points	37
4.3.5	Tension Theory	38
4.3.6	Metaphor and Cognition	38
4.4	Question and Discussion	39
4.4.1	Conceptuality of Metaphor	39
4.4.2	Identifying the Confusion of Metaphorical References	40
4.4.3	Instability	40
4.4.4	The Unclearness of Ground	40
5	Innovation Theory	43
5.1	Black	43
5.1.1	Comments on Alternative Theory and Comparision Theory	43
5.1.2	The Pattern of Black	44
5.1.3	Question and Discussion	48
5.2	Ricoeur	48
5.3	Hausman	49
5.3.1	The Uniqueness of References	49
5.3.2	External Language Concept	50
5.3.3	Individuality	50
6	Conduit Metaphor	51
6.1	Basic Theory	51
6.1.1	Meaning is an Object	53
6.1.2	Language Expression is a Container	53
6.1.3	Communication is Transmission	54
6.2	Historical and Cultural Origin of Conduit Metaphor	55
6.3	The Role of Conduit Metaphor Theory	55

6.3.1	Compensating for the Lack of Classical Theory	55
6.3.2	Programming and Artificial Intelligence	56
6.3.3	Interpretation of Speech Act	57
6.4	Question and Discussion	57
6.4.1	Two Kinds of Informative Views	57
6.4.2	Does Meaning Exist Objectively?	58
6.4.3	What is Transmitted in Communication?	58
6.4.4	Unverifiability of Frame Theory	59
6.4.5	Paralinguistic Features Are Often Overlooked	59
6.4.6	Unidirection of Conduit Metaphor	60
6.4.7	Is it Helpful for Learning?	60
6.4.8	Innovative Education is not Advocated	61
6.5	Conclusion	62
7	Conceptual Metaphor	63
7.1	Scholarship Thinking of Lakoff	63
7.2	Metaphorical Concepts	66
7.2.1	Metaphorical Concepts and Conceptual Metaphors	66
7.2.2	The Systematicity of Metaphorical Concepts	67
7.2.3	Types of Metaphor	68
7.2.4	Common Ground	69
7.2.5	Comparative Theory	70
7.2.6	Metaphor, Truth, and Action	71
7.2.7	Objectivity, Subjectivism, and Empiricism	71
7.3	The Development of Conceptual Metaphor Research	71
7.3.1	The Proof of Conceptual Theory	72
7.3.2	Concrete Organizing Notions	72
7.3.3	An In-Depth Study of “Theories are Buildings”	73
7.3.4	Language Teaching	74
7.3.5	The Direction of the Translation Process	74
7.3.6	Conceptual Metaphors in Chinese Culture	75
7.4	Question and Discussion	75
7.4.1	Original Meaning	75
7.4.2	Coherence	76
7.4.3	Consistency	76
7.4.4	Does Cognitive Metaphor Equal to Conceptual Metaphor?	77
8	Basic Metaphor	79
8.1	From Poetic Metaphor to Basic Metaphor	79
8.1.1	Poetic Language and Metaphor	79
8.1.2	The Role of Metaphor in Poetry	80
8.1.3	Basic Metaphor	81
8.2	Mappings	82
8.2.1	Schema and Metaphor	82
8.2.2	Metaphorical Mapping and Conceptual Mapping	83

8.2.3	The Superordinate Level	84
8.2.4	Mapping is the Combination of Correspondence	84
8.2.5	Mapping is Basic	84
8.3	Question and Discussion	85
8.3.1	Systematicism and Inconsistency	85
8.3.2	Poetic Metaphor	85
9	Poetic Metaphor	89
9.1	Originality	89
9.2	Authenticity under the Cover of Impossibility	90
9.3	Inconsistency of Semantic Domain	91
9.4	Cross-Domains	92
9.5	Aesthetics	93
9.6	Enjoyment and Interactivity	94
9.7	Integrity of Symbols	95
9.8	Extensibility	96
10	Root Metaphor	99
10.1	The Development Track of Pepper's Academic Thought	99
10.2	Root Metaphor Theory	100
10.3	World Hypothesis Theory	100
10.4	Classification of World Hypotheses	101
10.4.1	Formism	101
10.4.2	Mechanism	102
10.4.3	Organicism	102
10.4.4	Contextualism	102
10.5	Application of Root Metaphor Theory	103
10.5.1	Politics	103
10.5.2	Science	104
10.5.3	Information	104
10.5.4	Society	105
10.5.5	Education	106
10.6	Question and Discussion	106
10.6.1	Confirmation of Faith	106
10.6.2	Philosophical Metaphor and Poetic Metaphor	107
10.6.3	Metaphilosophy and Aesthetics	107
10.6.4	Root Metaphor and Symbol	108
11	Speech Act and Original Meaning	109
11.1	Introduction	109
11.2	Grice and Searle's View	109
11.3	Question and Discussion	112
11.3.1	The Relationship Between Original Meaning and Metaphorical Meaning	112
11.3.2	Unestablished Original Meaning is not the First Step of Understanding Metaphor	113

11.3.3	Is the Sentence Meaning Necessarily Defective?	114
11.3.4	The Relationship Between Metaphor and Non-original Meaning	114
11.3.5	Why Use Metaphor?	114
11.3.6	“X to Y” or “Y to X”	115
11.3.7	Exclusion of Conventional Metaphor	115
11.4	Conclusion	116
12	Metaphor Understanding	119
12.1	Intelligence	119
12.2	Association	120
12.2.1	Analogy	121
12.2.2	Logical Theory	123
12.2.3	Mental Image	124
12.2.4	Non-original Meaning and Context Models	124
12.2.5	Semiotics	126
12.3	Conclusion	127
13	Calculation Theory	129
13.1	Martin’s Basic Point of View	129
13.2	MIDAS Model	130
13.3	Question and Discussion	132
13.3.1	Poor Semantic	132
13.3.2	Misleading Knowledge and Meaning	133
13.3.3	Uncertainty	134
13.3.4	Type Hierarchy and Background Knowledge	134
13.4	Others	135
13.4.1	The SME Model	135
13.4.2	The ACME Model	135
13.4.3	Sapper Model	136
14	Metaphor and Style	137
14.1	Definition	137
14.2	Conventional Metaphor and Unconventional Metaphor	138
14.3	Metaphor can Form a Stylistic Feature of a Text	141
14.4	Metaphor Form the Characteristics of Writers’ Style	143
14.5	Metaphor can constituent the factors of Stylistic Characteristics of Certain Genres	145
14.6	Metaphor can Constitute the Elements with Era Characteristics	147
14.7	Summary	148
15	Grammatical Metaphor	149
15.1	Grammatical Metaphor in the Classical Period	149
15.2	Grammatical Metaphor in Middle Ages	149
15.2.1	Application of Grammatical Metaphor in Life	150
15.2.2	Form of grammatical metaphor	151

15.3	Systematic Functional Linguistic School: Ideational Metaphor and Interpersonal Metaphor	152
15.3.1	Ideational Metaphor	153
15.3.2	Interpersonal Metaphor	155
15.3.3	Theoretical Explanation of Grammatical Metaphor	155
15.4	American Functionalism: Iconicity, Grammaticalization, and Conceptual Metaphor	156
15.4.1	Iconicity and the Correspondence between Meaning and Form	156
15.4.2	Grammaticalization	158
15.5	Conclusion	159
16	Halliday Model of Grammatical Metaphor	161
16.1	Metafunction and Level	162
16.2	Textual Metaphor in Metafunctions	163
16.3	Congruence	165
16.4	Attic and Doric	168
16.5	Ideational Metaphor and Nominalization	171
16.6	The Relationship Between Grammatical Metaphor and Scientific Theory	174
17	Phonetic Metaphor	177
17.1	Introduction	177
17.2	Onomatopoeic Iconicity	177
17.3	Cross-Domain Relevance	180
17.4	Similarity and Relevance	180
17.5	Language Differences	181
17.6	Translinguality	182
17.7	Functionality	183
17.8	Integration	185
17.9	Conclusion	186
18	New Discovery of Scientific Theory and New Thinking in Linguistics	187
18.1	Metaphorical Relations Between Natural Science and Linguistics	187
18.2	Enlightenment of Decline of Newtonian Mechanics to Modern Linguistics	188
18.3	New Discovery of Scientific Theory	190
18.4	The Advantages of Goatly's View	192
18.5	Questioning Goatly's Viewpoint	194
18.6	Conclusion	196
19	Metaphor and Translation	197
19.1	Introduction	197
19.2	Traditional Methods of Metaphor Translation	198
19.3	Metaphorical Translation with Theoretical Orientation	201

20	Development of Chinese Figurative Theory	209
20.1	Development of China's Figurative Theory	209
20.1.1	The Pre-Qin Period	209
20.1.2	Period of the Han Dynasty	210
20.1.3	Wei Jin and Southern and Northern Dynasties	210
20.1.4	The Tang and Song Dynasties	211
20.2	Figurative Elements	212
20.2.1	Tenor	212
20.2.2	Vehicle	213
20.2.3	Figurative Words	214
20.2.4	Similarity	214
20.2.5	Composition of Figuration	215
20.3	Classification of Figuration	216
20.3.1	Liu Xie's "比义 bǐ yì" and "比类 bǐ lèi"	216
20.3.2	Ten Types of Chen Kui	216
20.3.3	Tang Yue's "隐比的凝定 yǐn bǐ de níng dìng"	217
20.3.4	Three Types Theory of Chen Wangdao	217
20.3.5	Tan Yongxiang's Unified Standard Theory	218
20.4	Functions of Figuration	221
20.4.1	Explanation	221
20.4.2	Debating and Rhetorical Functions	221
20.4.3	Poetic Function	222
20.4.4	Allegorical Function	222
20.5	Evaluation Criteria of Figuration	223
20.5.1	The Figuration Should Be "附意切事 fù yì qiè shì"	223
20.5.2	Image Space of the Figuration	223
20.5.3	Appropriateness of Figuration	224
20.5.4	Novelty	224
20.6	Conclusion	225
	Bibliography	227